

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **L. MICHAEL MARITZEN ET AL.**

Docket No.

**SON5180.02A**

Serial No.

**09/737,274**

Filing Date

**DECEMBER 12, 2000**

Examiner

Group Art Unit

Invention:

**AUTOMATED USAGE-INDEPENDENT AND LOCATION-INDEPENDENT AGENT-BASED INCENTIVE  
TECHNIQUE FOR CUSTOMER RETENTION**

I hereby certify that this **Notice to File Missing Parts of Nonprovisional Application (Page 1 & 2)**  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**July 3, 2001**

(Date)

**JOHN P. O'BANION**

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

#5

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b> (Large Entity)	Docket No. SON5180.02A
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RECEIVED  
JUL 06 2001  
TRADEMARK OFFICE

In Re Application Of:  
**L. MICHAEL MARTIZEN; HAROLD A. LUDTKE; YOSHIHIRO TSUKAMURA-SAN; TOMITAKA TADAFUSA**

Serial No. 09/737,274	Filing Date DECEMBER 12, 2000	Examiner	Group Art Unit
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Invention: **AUTOMATED USAGE-INDEPENDENT AND LOCATION-INDEPENDENT AGENT-BASED INCENTIVE TECHNIQUE FOR CUSTOMER RETENTION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of **FEBRUARY 5, 2001** above-identified application.  
*Date*

The requested extension is as follows (check time period desired):

- ☐ One month      ☐ Two months      ☒ Three months      ☐ Four months      ☐ Five months

from: APRIL 5, 2001      until: JULY 5, 2001  
*Date*      *Date*

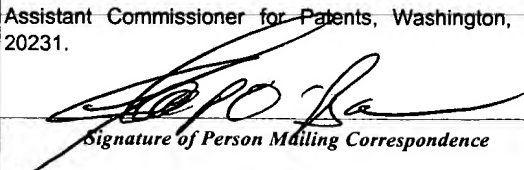
The fee for the extension of time is **\$890** and is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.  
☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.  
☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: 7/3/01

**JOHN P. O'BANION, REG. NO. 33,201**  
**O'BANION & RITCHEY LLP**  
**400 CAPITOL MALL, SUITE 1550**  
**SACRAMENTO, CA 95814**  
**(916) 498-1010**

I certify that this document and fee is being deposited on <b>July 3, 2001</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
 <i>Signature of Person Mailing Correspondence</i>
<b>JOHN P. O'BANION</b> <i>Typed or Printed Name of Person Mailing Correspondence</i>

07/10/2001 HNDOR1 00000054 09737274  
01 FC:117 890.00 OP

cc:



SECTOR  
#4

PATENT


Docket No.: SON5180.02A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: L. MICHAEL MARITZEN; HAROLD A. LUDTKE; YOSHIHIRO TSUKAMURA-SAN;  
TOMITAKA TADAFUSA  
Serial No.: 09/737,274  
Filed: DECEMBER 12, 2000  
For: AUTOMATED USAGE-INDEPENDENT AND LOCATION-INDEPENDENT AGENT-  
BASED INCENTIVE TECHNIQUE FOR CUSTOMER RETENTION  
Group:  
Examiner:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

7/3/01  
  
John P. O'Banion, Reg. No. 33,201  
7/3/01  
Date of Signature

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed February 5, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Check in the amount of \$894 (\$764 filing fees and \$130 surcharge for the fees due as a small entity);
3. Petition for Extension of Time Under 37 CFR 1.136(a) and;
4. Check in the amount of \$890 (Extension Fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED									
		Number filed		Number Extra			Rate		Basic Fee
Total									\$ 710.00
Claims 37 CFR 1.16(c)		23	- 20	=	3	X	\$18.00	=	54.00
Independent									
Claims (37 CFR 1.16(b))		3	- 3	=	0	X	\$80.00	=	
Multiple dependent claim(s), if any (37 CFR 1.16(d))						+	\$270.00	=	

Filing Fee Calculation \$ 764.00

Surcharge \$ 130.00

**TOTAL FILING FEE \$ 894.00**

**Small Entity Statement(s)**

\_\_\_ Verified Statements(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is enclosed.

Filing Fee Calculation (50% of above) \$ .00

Amount already paid LESS ( \$ )

**TOTAL FILING FEES DUE \$ 894.00**

\_\_\_ Assignment recording fee \$

X Extension fee \$ 890.00

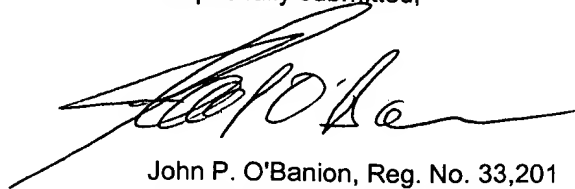
**TOTAL FEES DUE \$ 1,784.00**

Please charge any additional fees due to deposit account no. 07-1137.

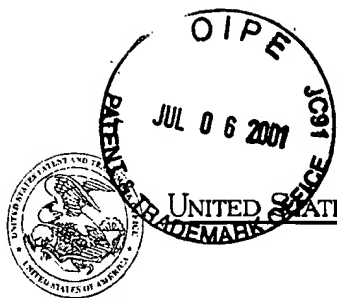
Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: 7/3/01

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John P. O'Banion", written over a horizontal line.

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/737,274	12/12/2000	L. Michael Maritzen	SON5180.02A

CONFIRMATION NO. 7901

## FORMALITIES LETTER



\*OC000000005734566\*

John P. O'Banion  
O'BANION & RITCHEY LLP  
Suite 1550  
400 Capitol Mall  
Sacramento, CA 95814

Date Mailed: 02/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$54.
  - \$54 for 3 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/10/2001 HND0R1 00000054 09737274  
02 FC:101 710.00 DP  
03 FC:103 54.00 DP  
04 FC:105 130.00 DP

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